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Date: December 27, 2001

Docket No.: 1110-0306P

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b), is a Continuation-In-Part application of PCT International Application No. PCT/JP00/04374 filed on June 30, 2000. The present application is filed on behalf of the inventor(s) according to the provisions of 37 C.F.R. § 1.41(c).

Inventor(s): NISHIDA, Hidemitsu; SAITO, Fumihiko;
HARADA, Kousuke; SHIROMIZU, Ikuya;
MUKAIHIRA, Takafumi

For: TRICYCLIC COMPOUND HAVING SPIRO UNION

Enclosed are:

- A specification consisting of Three Hundred Seventy Nine (379) pages
- Forty-Eight (48) sheet(s) of formal drawings
- An assignment of the invention
- Certified copy of Priority Document(s)
- Information Disclosure Statement, PTO-1449 with reference(s)
- Executed Declaration in accordance with 37 C.F.R. § 1.64 will follow

Applicant claims small entity status under 37 C.F.R. § 1.27.

Preliminary Amendment

Information Sheet

Priority of PCT International Application No. PCT/JP00/04374 filed on June 30, 2000 is claimed under 35 U.S.C. § 120.

Amend the specification by inserting before the first line thereof the following:

--This application is a Continuation-In-Part of copending PCT International Application No. filed on , which was published in English and which designated the United States and on which priority is claimed under 35 U.S.C. § 120, the entire contents of which are hereby incorporated by reference.--

Priority of Application Nos. 11/222883 and 2000/399998 filed in Japan on June 30, 1999 and December 28, 2000, respectively are claimed under U.S.C. § 119.

Other:

The filing fee has been calculated as shown below:

		LARGE ENTITY		SMALL ENTITY	
BASIC FEE		\$740.00		\$370.00	
	NUMBER FILED	NUMBER EXTRA	RATE	FEE	RATE
TOTAL CLAIMS	28- 20 =	8	X 18 =	\$144.00	X 9 = \$0.00
INDEPENDENT CLAIMS	14- 3 =	11	X 84 =	\$924.00	X 42 = \$0.00
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$280.00	+ \$140.00	
		TOTAL	\$2,088.00	\$0.00	

A check in the amount of \$2,088.00 to cover the filing fee and recording fee (if applicable) is enclosed.

Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

Please send correspondence to:

BIRCH, STEWART, KOLASCH & BIRCH, LLP **or** Customer No. 2292
P.O. Box 747
Falls Church, VA 22040-0747
Telephone: (703) 205-8000

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Maryanne Armstrong (K No 46069)
Gerald M. Murphy, Jr., #28, 977

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Attachments

(Rev. 11/15/01)

PATENT
1110-0306P

IN THE U.S. PATENT AND TRADEMARK OFFICE

I N F O R M A T I O N S H E E T

Applicant: NISHIDA, Hidemitsu; SAITO, Fumihiro;
HARADA, Kousuke; SHIROMIZU, Ikuya;
MUKAIHIRA, Takafumi

Appl. No.: New

Filed: December 27, 2001

For: TRICYCLIC COMPOUND HAVING SPIRO UNION

Priority Claimed Under 35 U.S.C. § 119 and/or § 120:

Japan	11-222883	June 30, 1999
Japan	2000-399998	December 28, 2000
PCT	PCT/JP00/04374	June 30, 2000

Send Correspondence to:

BIRCH, STEWART, KOLASCH & BIRCH, LLP or CUSTOMER NO. 2292
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The above information is submitted to advise the U.S.P.T.O.
of all relevant facts in connection with the present application.

A timely executed Declaration in accordance with 37 C.F.R.
§ 1.64 will follow.

Respectfully submitted,

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